

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

02/19/99
jc560 U.S. PTO

In re Application of:

February 19, 1999

Hai Xing Chen

Serial No.:

Filed: February 19, 1999

For: A METHOD FOR PRODUCTION
AND DELIVERY OF A PROTEIN
IN VIVO

jc612 U.S. PTO
09/253573
02/19/99

TRANSMITTAL LETTER

Asst. Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventors: Hai Xing Chen

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail mailing label number EE615378648US

Date of Deposit: February 19, 1999

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Asst. Commissioner for Patents, Box Patent Application
Washington, D.C. 20231

on February 19, 1999

Typed or printed name of person signing this certificate

Yi Li

Signature

[Handwritten Signature]


[illegible]

Also attached is a Declaration and Power of Attorney.

CLAIMS AS FILED

TOTAL FILING FEE = \$ 798.00

2/19/99
Date of Signature

By: 
Yi Li
Registration No. P-44,211
Agent for Applicant
Telephone: (305) 380-4364

Li & Alter
11820 SW 107 Ave.
Miami, FL 33176

PTO/SB/09 (12-97)
 Approved for use through 9/30/00, OMB 0851-0031
 Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT CLAIMING SMALL ENTITY STATUS
 (37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)

99,001

Applicant, Patentee, or Identifier: Hai Xing Chen

Application or Patent No.: Serial Number To Be Assigned

Filed or Issued: _____

Title: A Method For Production And Delivery Of A Protein In Vivo

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern, or organization exists.
☐ Each such person, concern, or organization is listed below.

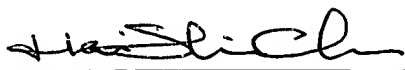
Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Hai Xing Chen
 NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR


 Signature of inventor

Signature of inventor

Signature of inventor

February 18, 1999

Date

Date

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.